

**James J. Walker**

Part 2B of Form ADV for Penn Investment Advisors, Inc.

Brochure Supplement

**Item 1: Cover Page**

This brochure supplement provides information about James J. Walker, Vice President/Financial Advisor, that supplements the Penn Investment Advisors, Inc. (“Penn Investment Advisors”) brochure. You should have received a copy of that brochure. Please contact our home office at 1.800.626.1027 if you did not receive a copy of Penn Investment Advisors’ brochure or if you have any questions about the contents of this supplement.

Additional information about James J. Walker is available on the SEC’s website at [www.advisorinfo.sec.gov](http://www.advisorinfo.sec.gov).

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**Investments Managed by Penn Investment Advisors are not FDIC insured, May Lose Value, Have no Bank Guarantee, are not a Deposit, and are not Insured by any Federal Government Entity.**

## **Item 2: Educational Background and Business Experience**

**Name:** James J. Walker

**Title:** Vice President, Financial Advisor

**Education:**

B.A., Education, Penn State University 1972

Master Education, Penn State University 1977

**Employment:**

2015 – Present	Vice President, Financial Advisor Penn Investment Advisors, Inc.
2014 – 2015	Investment Advisor Representative Longview Capital Management, LLC
2008 – 2013	Vice President Security National Trust Company

## **Item 3: Disciplinary Information**

There are no legal or disciplinary events material to a client's or prospective client's evaluation of James J. Walker.

## **Item 4: Other Business Activities**

James J. Walker is not engaged in any investment-related business or occupation (other than this Advisory firm).

## **Item 5: Additional Compensation**

Other than regular salary and bonuses, James J. Walker, does not receive any economic benefit for providing advisory services. James J. Walker does not receive any sales awards and other prizes, and any bonus received is not based, even in part, on the number or amount of sales, client referrals, or new accounts.

## **Item 6: Supervision**

Penn Investment Advisors supervises James J. Walker through its compliance program. The firm (1) has adopted and implemented written policies and procedures reasonably designed to prevent violations by the firm and its supervised persons; (2) reviews, at least annually, the adequacy and effectiveness of the policies and procedures; (3) has designated a chief compliance officer who is responsible for administering the policies and procedures; and (4) maintains records of the policies and procedures and annual reviews. The firm monitors the advice the supervised person provides to clients by regular reviews of the transactions in client accounts. The person responsible for supervising the supervised person's advisory activities on behalf of the firm is George R. Breuninger, Chief Compliance Officer, he can be reached at 1.800.626.1027.

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